



**Department of
Higher Education**

John R. Kasich, Governor
John Carey, Chancellor

Directive 2015-052

September 22, 2015

RE: ADOPTION OF RULES TO ADMINISTER THE OHIO HIGHER EDUCATION INNOVATION GRANT PROGRAM

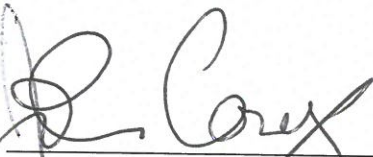
The Chancellor of the Ohio Department of Higher Education (the “Chancellor”) is charged by the Ohio General Assembly pursuant to Section 3333.70 of the Ohio Revised Code with establishing and administering the Ohio Higher Education Innovation Grant Program. Section 3333.70(B) specifically directs the Chancellor to adopt rules to administer the program.

Therefore, to provide eligible institutions with guidance regarding this program, the Chancellor has written the attached rules which will be used by the Chancellor to administer the program.

At the recommendation of the staff of the Ohio Department of Higher Education, I hereby adopt the attached rules.

This directive was posted for public comment and no comments were received.

This directive is effectively immediately upon signature.



John Carey
Chancellor, Ohio Department of Higher Education

mlc



REQUEST FOR APPROVAL

The following request has been posted for a 2-week public comment period and is recommended for approval. No public comments were received.

Recommenders: Amy Shaffer September 22, 2015

Ohio Higher Education Innovation Grant Program

The attached directive and rules will govern the Ohio Higher Education Innovation Grant Program.

Summary of public comments:

None

Rules governing the Ohio Higher Education Innovation Grant Program

A. Authority

The rule is established by authority conferred upon the chancellor of the Ohio department of higher education (the “Chancellor”) by section 3333.70(B).

B. Policy and Intent

The purpose of the Ohio higher education innovation grant program is to promote educational excellence and economic efficiency throughout the state in order to stabilize or reduce student tuition rates at institutions of higher education.

C. Definitions

1. “Eligible institution” means any of the following:
 - i. A state institution of higher education as defined in section 3345.011 of the Revised Code
 - ii. A private nonprofit institution in this state that has a certificate of authorization pursuant to Chapter 1713 of the Revised Code.

D. Application Requirements

1. The Chancellor will prescribe a competitive process for making awards under this program to eligible institutions. The process will include the periodic issuance of a request for proposals to eligible institutions to submit applications. Eligible institutions may submit applications and initiate collaboration with other institutions of higher education, either public or private on such projects.
2. In addition to the other requirements set forth in the request for proposals issued by the Chancellor, each grant application will be required to provide for all of the following:
 - i. A system by which to measure academic achievement and reductions in expenditures, both in funding and administration;
 - ii. Demonstration of how the project will be sustained beyond the grant period and continue to provide substantial value and lasting impact;
 - iii. Proof of commitment from all parties responsible for the implementation of the project;
 - iv. Implementation of an ongoing evaluation process and improvement plans, as necessary.

E. Application review and program awards

The Chancellor shall review each application submitted in response to a request for proposals. Applications received from ineligible institutions shall not be reviewed.

The Chancellor shall notify each applicant of the decision concerning each respective application.

F. Award Requirements

1. Any eligible institution selected to receive funds from the Ohio higher education innovation grant program shall enter into an agreement with the chancellor governing the use and disbursement of the award.
2. This agreement will require the institution to provide the chancellor with periodic program and fiscal reports.
3. Award recipients shall agree to maintain financial and non-financial records documenting the activities of the funded program. Such records shall be subject to inspection and review at the discretion of the chancellor to ensure fiscal accountability, operating progress, and desired outcomes.
4. The chancellor may require an award recipient that violates the terms of its agreement to repay the award plus interest at the rate required by section 5703.47 of the Revised Code to the chancellor. A decision of the chancellor to require such repayment shall be final.