

# The Ohio Ethics Law: Key Concepts for Trustees



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Executive Director





E<sub>1</sub>

T<sub>1</sub>

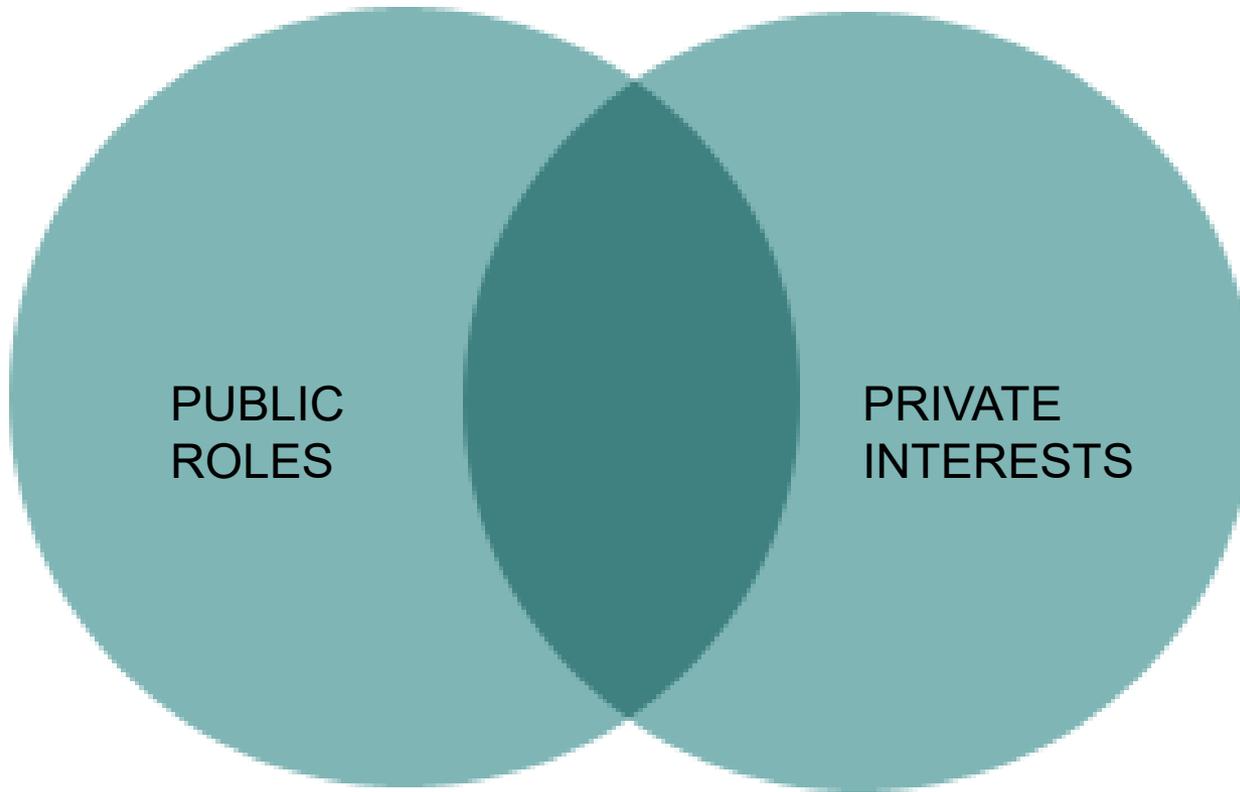
H<sub>4</sub>

I<sub>1</sub>

C<sub>3</sub>

S<sub>1</sub>





# CONFLICTS OF INTEREST



# 102.04 - Prohibition

- 102.04(A) - Cannot receive compensation to represent anyone before your own state institution
- 102.04(B) - Cannot sell any goods or services (unless competitively bid) to ANY OTHER state agency (except courts)



# 102.04(D) - Exception

- Only applies to NON-ELECTED state officials
- Only applies to goods or services provided to agencies OTHER THAN your own
- Before selling goods or services, you must file a statement (each year) with:
  1. Agency you serve;
  2. Agency to which you're selling goods or services; and
  3. Ethics Commission
- 2 year disqualification



Gifts



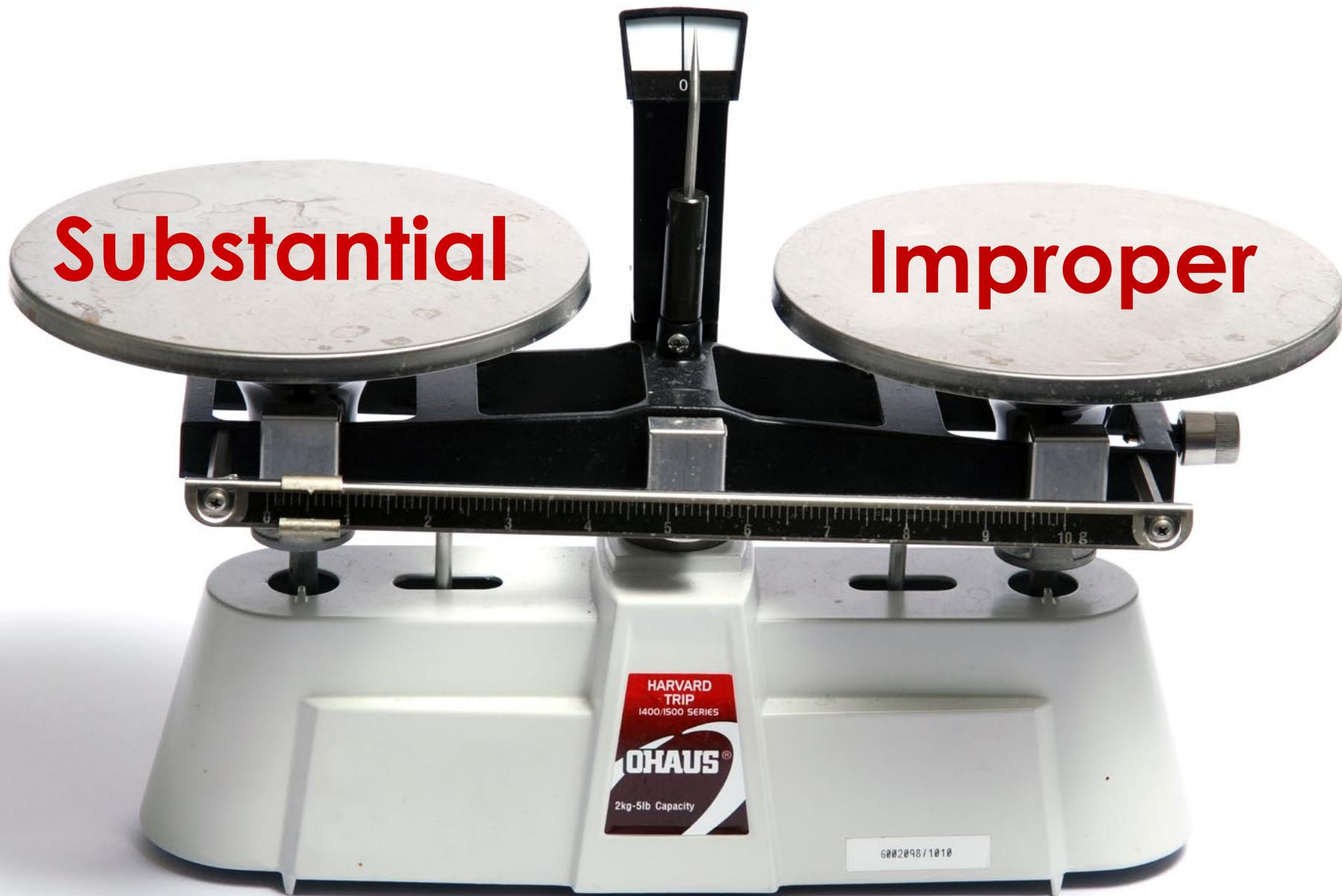
# Conflicts of Interest

ORC 102.03(E)



**Substantial**

**Improper**





**Improper**

HARVARD  
TRIP  
1400/1500 SERIES  
**OHAUS**  
2kg-5lb Capacity

6882898/1010

# “Improper” Source

Those who:

- are doing/seeking to do business with public officials and employees
- are regulated by government
- have specific interests before agency

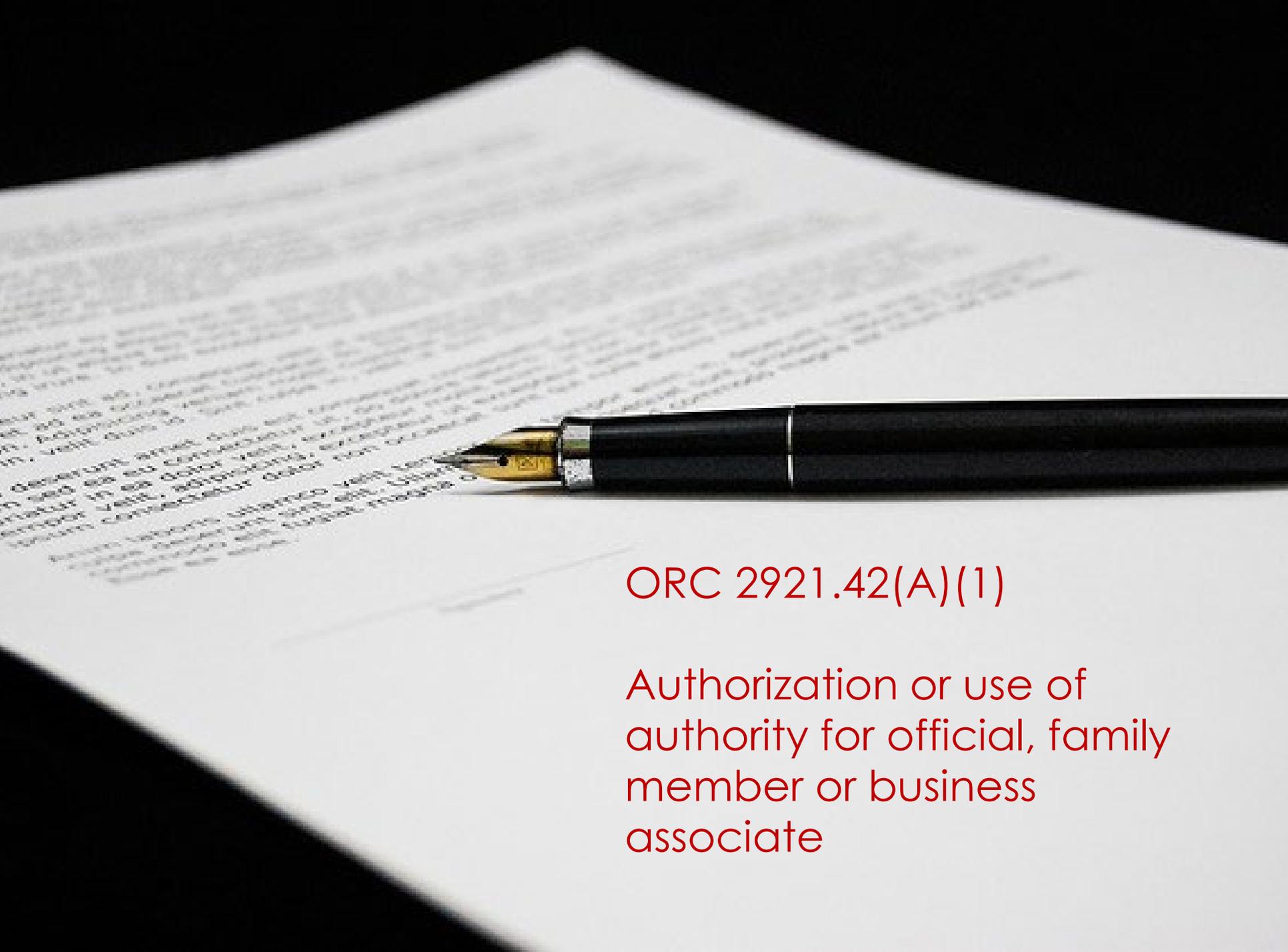


**Substantial**



# Public Contracts





ORC 2921.42(A)(1)

Authorization or use of  
authority for official, family  
member or business  
associate

You authorize an IT contract between your agency and the IT company owned by your brother.





ORC 2921.42(A)(4)

Having an interest in profits/benefits of public contract with “connected” agency

# Public Contract Exception

- Four-Part Exception:
  - Necessary supplies/services
  - Supplies unobtainable elsewhere for the same or lower cost or “continuous course of dealing”
  - Preferential or same treatment as other customers
  - Arm’s length transaction



APPLICATIONS  
ATTENDING  
RESUME



Nepotism



# Confidentiality: R.C. 102.03 (B)



# Financial Disclosure

- *Why?*
- *What?*
- *Investments*
- *Confidential Review Process*



# Ohio Ethics Commission



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